Federal Communications Commission 445 12th St., S.W. Washington, D.C. 20554

September 10, 2014

PROCEDURES FOR FILING

WAIVERS, REDUCTIONS AND DEFERMENTS OF REGULATORY FEES

Each year, the Commission is required to collect regulatory fees. Licensees and regulatees are assessed fees as set forth in *Assessment and Collection of Regulatory Fees for Fiscal Year 2014, Report and Order*, (released August 29, 2014) ("FY 2014 Regulatory Fees, *Report and Order* and *Further Notice of Proposed Rulemaking*"). The Commission also publishes industry-specific guidance in *Who Owes Fees & What Is My FY 2014 Fee*, which can be found on the Commission website at http://www.fcc.gov/regfees.

The Commission's automated filing and payment system ("Fee Filer") is available for <u>filing</u> and <u>viewing</u> of FY 2014 regulatory fees. While FY 2014 regulatory fees will not become effective until the rulemaking is published in the Federal Register, regulatees at their own discretion, may submit payments at any time before the effective due date of FY 2014 regulatory fees. **Regulatory fee payments must be RECEIVED** ¹ by the **Commission no later than 11:59 PM, Eastern Daylight Time, on September 23, 2014.**

Licensees are solely responsible for accurately accounting for all licenses and for properly paying regulatory fees before the deadline. Any data omission, erroneous or incomplete data submission, or payment deficiency may result in sanctions permitted under 47 U.S.C. 159(c) and 47 C.F.R. §§ 1.1164 & 1.1910, including, but not limited to, a mandatory 25% late payment penalty, dismissal of pending actions, and/or revocation of any authorization, and charges for collection. The 25% late payment penalty accrues automatically on any portion of the fee unpaid after the deadline. The Commission will invoke any lawful authority possessed under the Debt Collection Improvement Act of 1996 and the implementing rules to collect fees due or outstanding against licensees failing to meet their regulatory fee payment obligations. Thus, to avoid a 25% late-payment penalty, licensees must ensure that the Commission receives the <u>full payment by the due date</u> using one of the methods described in the *FY 2014 Payments and Procedures Public Notice*.

Under 47 U.S.C. 159(d) and 47 C.F.R. § 1.1166, the Commission may waive, reduce, or defer payment of a fee in specific instances, on a case-by-case basis, where good cause is shown <u>and</u> where waiver, reduction or deferral of the fee would promote the public interest. Licensees requesting a waiver or reduction must demonstrate both of those required elements, and licensee either must pay the full fee or submit with the request a separate petition to defer payment due to financial hardship that is fully supported by the required documentation of the financial hardship. If the licensee pays the fee, and the Commission grants the request, the Commission will refund the appropriate amount. The Commission will dismiss any request to waive or reduce a regulatory fee that does not include full payment or the required separate petition for deferral with supporting documentation, and under 47 U.S.C. 159(c) and 31 U.S.C. 3717, the Commission is required to

¹ The Commission has instituted a mandatory electronic payment policy, which means that licensees will no longer be able to make regulatory fee payments by check, money order, or cashier's check. Only credit card, ACH, and wire transfer payments will be accepted. Please make sure that your electronic fee payment is made and the transaction is complete by the due date of FY 2014 regulatory fees.

impose the 25% penalty and other relevant charges. A request for waiver, reduction or deferral must be received before the fee due date. If the licensee submits a request with payment of the fee, licensee must submit the fee payment to the Commission's lockbox bank at the appropriate address set forth in the Commission's rules. If licensee does not pay the fee, but includes a petition to defer payment, licensee must file with the Commission's Secretary both the request and separate petition to defer payment, with the required supporting financial documentation. Submissions sent to other locations or directly to Commission staff may be dismissed. For example, a request sent by email to the Help Desk is improper, it is not filed, and if the fee is unpaid, the unpaid fee amount is delinquent, resulting in accrual of additional charges from the date of delinquency. Furthermore, the Commission will dismiss a waiver request filed by a delinquent debtor or a petition that does not have the required financial documentation. The Commission's website at http://www.fcc.gov/encyclopedia/electronic-and-hard-copy-filing-address provides the addresses for filing hardcopy documents. Requests for waiver of a regulatory fee should be clearly marked Attn: Office of the Managing Director, Regulatory Fee Waiver/Reduction Request, e.g.:

Marlene H. Dortch, Secretary
Federal Communications Commission
Attn: Office of the Managing Director, Regulatory Fee Waiver/Reduction Request
445 12th Street, S.W., Room TW-B204
Washington, D.C. 20554

Any request for a waiver (that must demonstrate both good cause shown and that the waiver would promote the public interest) submitted without the full fee, must include a separate petition to defer payment supported by full proper financial documentation sufficient to demonstrate both good cause shown and that the deferral of payment would promote the public interest. Both the complete request for a waiver and the complete petition to defer payment must be received by the deadline, September 23, 2014. Any request for waiver and deferral received after that date will be dismissed, payment of the fee will be delinquent, the statutory 25% penalty will accrue, and licensee will be responsible for paying the full amount of the fee, including the penalty, and charges of collection, interest and additional penalties will accrue from the date of delinquency as permitted under the law. Further, the licensee will be subject to sanctions (red lighting) and debt collection procedures.